

# Leveraging Management Frameworks and Academia-Industry Collaboration for Enhanced Efficiency and Productivity in Startups

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## Abstract

*Startups play a maternal role in the getaway of innovation and subsequently, economic growth, but all the while have a plethora of challenges against operational efficiency and productivity. This research thereby proceeds to leverage management frameworks on two of the difficult periods in a startup's lifespan: pre-opening and post-opening. The pre-opening phase has been characterized by emphasis upon strategic planning and market analysis. The industry dynamics, competitive positioning, or issues affecting an industry will be studied by using Michael Porter's Five Forces with SWOT analysis as the tool. This phase is enriched through academia-industry collaboration, thereby enabling the startup to convert new and innovative research into practical applications and sound decisions that will nurture opportunities for rapid growth. The period after opening will focus on operations alignment and scalability. For the purposes of improving efficiency and continuous improvement, management frameworks like McKinsey 7S model, Issue Trees, and Business Model Canvas are analyzed. All these frameworks assist startups in aligning their internal structure, removing operational impediments, and improving their business model for sustainable growth. It is the study under which structured management frameworks and academic insights are combined to solve the problems of startups. This kind of study looks at the strategic and operational facets of startup management, hence providing a mission statement for entrepreneurs concerning efficiency and productivity towards successful long-term survival.*

**Keywords:** Startups, management frameworks, McKinsey 7S, issue trees, business model canvas, Michael Porter's five forces, SWOT analysis, academia-industry collaboration, strategic planning, operational efficiency, productivity, scalability

## INTRODUCTION

Startups are dynamic engines of economic development and innovation in technological

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advancement and solving real-world issues. They work in rapid-moving, competitive environments with constraints such as limited resources and extreme uncertainty. It has evolved by leaps and bounds in India, courtesy of government initiatives—such as Startup India, Digital India, and Make in India. According to a recent report from NASSCOM, India ranks as the third-largest startup ecosystem in the world, after the USA and China, with more than 26,000 active startups as of 2023. However, despite receiving so much recognition, startups are still immensely challenged, with close to 90% of them failing in their first 5 years due to inefficient operations, misaligned strategies, and incapable scaling. The double dilemma for start-up organizations is excelling in efficiency alongside

productivity without losing sight of innovation. By maximizing the best use of resources, in terms of time, capital, and human talent, efficiency addresses the constraints against productivity in a way that concerns quality and quantity of output considering the limitations. They are not only nice-to-haves for startups, but rather must-haves, to achieve sustainability and scaling. For example, a startup operates within the ambit of a highly competitive market and it needs to ensure that its internal processes have streamlined, its decision-making mechanisms are robust, and its operational activities align with its strategic objectives.

### FAILURES DUE TO LACK OF MANAGEMENT FRAMEWORKS

The failure of startups on account of processes poorly conceived, strategies terribly misaligned, and the absence of appropriate management frameworks is well-documented. Table 1 breaks down the common reasons for startup failures with a good deal of emphasis on the absence of management frameworks.

Management frameworks solve these challenges systematically by doing process standardization to them. Most of them have been developed over years of research and practical application, and offer structured methodologies for solving some problems, aligning various organizational elements, and improving overall company performance. While primarily designed for and found in much larger, more established organizations, the principles stand equally well, if not better, in smaller startups.

The life of a startup can be divided into two very important phases: the pre-launch phase and post-launch phase, with separate types of strategic and operational priorities.

#### Pre-Opening Phase: Strategic Foundations

The very early pre-opening stages are always given due consideration to prepare a well-formulated foundation for operations in a startup. It covers market research, competitor analysis, strategic planning, and identification of opportunities for future challenges along with these. Major frameworks such as Michael Porter's Five Forces model and SWOT analysis can be applied to improve efficiency and productivity optimally, always by turning to the primary insight on the market dynamics and internal capabilities.

**Table 1.** Reason for startup failure.

| Reason for startup failure      | Percentage of failures | Description                                                                                 | Management framework impact                                                            |
|---------------------------------|------------------------|---------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|
| Lack of market need             | 42%                    | The startup fails to identify a viable customer segment or market need.                     | SWOT analysis helps identify market needs and customer segments.                       |
| Running out of cash             | 29%                    | Inadequate financial management and lack of resource allocation lead to cash flow problems. | McKinsey 7S can help optimize financial processes and resource allocation.             |
| Not the right team              | 23%                    | Inefficiencies due to lack of the right talent or organizational structure.                 | McKinsey 7S ensures alignment of staff, skills, and structure.                         |
| Pricing/cost issues             | 18%                    | Failure to properly assess the cost structure and pricing model.                            | Business Model Canvas helps identify cost structures and revenue models.               |
| Poor product                    | 17%                    | Product-market fit issues due to misaligned development or market entry strategy.           | Porter's Five Forces and SWOT analysis help identify potential threats and market fit. |
| Ineffective marketing           | 14%                    | Lack of marketing strategy leading to poor customer acquisition.                            | Issue Trees help identify marketing inefficiencies and target solutions.               |
| Lack of business model/strategy | 10%                    | Startups fail to develop or iterate on a sustainable business model.                        | Business Model Canvas helps startups align their business model to market demands.     |
| Failure to pivot or adapt       | 10%                    | Inability to adapt to changing market conditions or customer needs.                         | PDCA cycle supports iterative learning and adaptability.                               |

Source: CB Insights, *Startup Failure Postmortem*, 2023

### ***Michael Porter's Five Forces Model***

This model defines competitive forces within an industry:

1. *Threat of new entrants*: Helps startups identify barriers to entry.
2. *Bargaining power of suppliers*: Assesses supplier influence on pricing and resources.
3. *Bargaining power of buyers*: Evaluates customer influence on pricing and demand.
4. *Threat of substitutes*: Analyses risks from alternative products or services.
5. *Industry rivalry*: Examines the intensity of competition.

### ***SWOT Analysis***

A SWOT (Strengths, Weaknesses, Opportunities, and Threats) analysis allows startups to support their internal strengths and capabilities with the available opportunities and deal with challenges.

### ***Business Model Canvas***

The Business Model Canvas (BMC) is vital in the pre-opening phases, contributing a framework for the design and validation of a startup's business model. Through the mapping of key components like value propositions, customer segments, revenue streams, and cost structures, a startup gains strategic insight and optimally allocates resources.

Also, testing assumptions, improving strategies, and validating with the customer align the BMC with market needs, thereby posing the whole BMC as the pivot of risk minimization and roadmap formation for a successful launch.

With these frameworks in place, pre-opening startups practice planning that is cost-effective or resource-efficient, thus better procedures for designing implementable plans to maximize productivity by minimizing risks.

### **Post-Opening Phase: Operational Alignment and Scalability**

During the post-opening stage, the focus is now centered on executing and ramping up the business. The startup must tackle operational inefficiencies, align resources with goals, and adapt to market dynamics. The McKinsey 7S model, Issue Trees, and the Business Model Canvas are frameworks that can enhance productivity and efficiency in this phase.

### ***McKinsey 7S Framework***

The 7S model of McKinsey is a model developed to steer the configuration and cross-alignment of the seven elements considered most important to an organization in fulfilling its strategic intent. The seven elements are:

1. *Strategy*: The organization's long-term plan to gain competitive advantage.
2. *Structure*: The hierarchical or reporting framework of the organization.
3. *Systems*: The processes and procedures that govern operations.
4. *Shared values*: The core principles and cultural norms that guide behaviour.
5. *Style*: Approaches in leadership and management.
6. *Personnel*: Staff and their skills.
7. *Skills*: The organizations' and employees' technical and managerial capabilities.

In a startup, the McKinsey 7S model helps harmonize all areas and create a solid growth foundation. For example, having a strategy compatible with shared values and available skills fosters a cohesive organizational culture, which is important during early development.

### ***Issue Trees***

Issue Trees are a visual tool used for solving problems by structure. They help divide complex issues into simple, on-time issues that permit decision-makers to search for root causes and prioritization of

solutions. An Issue Tree begins with an upper issue and flows into sub-issues and further levels into actions or questions.

In the startup business, where challenges cut across several domains from product development to market entry to resource allocation, Issue Trees provide clarity and focus. In the case of a startup with falling customer acquisition rates, the Issue Tree can break down the problem into causes: whether marketing campaigns are at fault or whether the product does not fit the market, or whether the customers have engaged or not. Then, targeted interventions can be devised.

### *Business Model Canvas*

The Business Model Canvas is a strategic management tool that allows organizations to visualize, design, and pivot their business models. It consists of nine components:

1. *Customer segments*: The actual customers the organization serves.
2. *Value propositions*: The unique value delivered to customers.
3. *Channels*: How the organization delivers value to customers.
4. *Customer relationships*: How the organization interacts with customers.
5. *Revenue streams*: How the organization earns money.
6. *Key resources*: What resources are essential to deliver value.
7. *Key activities*: What activities are critical to delivering value.
8. *Key partnerships*: Who the organization collaborates with to create value.
9. *Cost structure*: The costs associated with delivering value.

At the very basic level, the BMC will refine the strategies of a startup and allow it to survive in a changing market. It helps founders define their venture business ideas, identify their gaps, and test such hypotheses relating to customers' needs and revenue models. For example, a new app being developed by a tech startup may determine which customers would ideally be targeted by the product, what makes it valuable to them, and even provide the go-to-market strategy.

### **Role of Academia-Industry Collaboration**

Academia-industry collaboration strengthened the impact of these frameworks by integrating academic expertise with the requirements of entrepreneurship. Partnerships between startups and academic institutions allow startups access to world-class research, data analytics, and innovative tools for process optimization and improvement of productivity.

Working with the Indian Institutes of Technology (IITs), Razorpay further extended its attempt to ameliorate fraud detection through advanced algorithms. This tandem contributed to Razorpay's operational efficiency, increasing secure payment processing. On other fronts, academic incubators such as D Labs at the Indian School of Business encourage mentorship and resource sharing for decisions based on facts and innovative solutions.

## **LITERATURE REVIEW**

### **Sharma and Verma [1]**

They examined the roles that strategic management frameworks serve in aiding startups in enhancing operational efficiency. The study stressed that to overcome resource constraints, the business strategy should be aligned with organizational structure and systems. The authors argued that the McKinsey 7S framework promotes alignment and enables scalability and sustainable growth for startups [1].

### **Mohan and Soni [2]**

They analyzed the challenges for Indian startups and suggested management frameworks such as the Business Model Canvas as solutions to issues like poor planning and inadequate iterative learning. Based on their findings, structured frameworks assist startups in aligning their value propositions with market needs, thereby enhancing customer acquisition and retention [2].

### **Kumar and Rani [3]**

They investigated the application of the McKinsey 7S framework concerning Indian start-ups. This alignment includes strategy, structure, and systems and is found to contribute to the improvement in productivity. Their study revealed that the best chances of survival in terms of graduates from early-stage challenges, like market volatility and resource limitations, would be given to startups with a greater internal alignment based on the 7S framework [3].

### **Patel [4]**

Patel networked to understand how evolved the Business Model Canvas is in achieving strategic clarity for Indian startups. This study revealed that the BMC helped entrepreneurs to map clearly value propositions and customer segments for improving efficiency in resource allocation and business operations. Patel is of the opinion that being pictorial, it makes decision making easier for the entrepreneur with many challenges [4].

### **Gupta and Singh [5]**

Thus, in the vein, Gupta and Singh, explored the Micheal Porters five forces model as applicable in startups. The mode of their research from the application of the Framework towards creating a culture of continuous improvement in the start-up though is proven by the authors by the iteratively feedbacked loops through which start-ups benefit the authors sections. Inefficiencies get flagged up and further refinements are made to improve productivity [5].

### **Reddy and Kumar [6]**

They said that the barriers that startup encounters while implementing the management framework are especially the Indian context. They found that limited awareness and understanding of the application of frameworks like McKinsey 7S and Issue Trees disallowed several startups to enjoy the full advantage these tools offer. Thus, they recommended making entrepreneurs even more informed and trained on these agendas to overcome these obstacles [6].

### **Verma and Jain [7]**

The role of leadership styles in the implementation of management frameworks was the subject of their research. It was stated that successful adoption of frameworks like the PDCA cycle or Business Model Canvas is only possible when leadership is good at driving change along with managing cultural transformation in organizations. This revealed that adaptive leadership in a startup is a strong predictor for its successful integration of the frameworks into its operations [7].

### **Sahu and Bhagat [8]**

They investigated the correlation between startup culture and implementation of McKinsey 7S framework. Their findings discovered that when the shared values of the startup fall in line with the strategy, structure, and systems of the organization, productivity gets improved significantly. They therefore recommend that startups build a culture of openness and communication to enable them apply the 7S framework successfully [8].

### **Shukla [9]**

Shukla studied how structured frameworks assist in inefficiencies in Indian tech startups. The research found that using tools like Issue Trees aided so much in problem-solving and decision-making, especially for startups facing rapid technological changes. By disaggregating complex topics into manageable ones, the startups were able to focus more on their operational and market issues [9].

### **Yadav and Mishra [10]**

The study discussed the decision-making processes involved in Indian startups and how management frameworks support them. Results indicated that usage of frameworks such as Issue Trees enables a more structured method to problem solving in startups, and consequently, quicker and better informed

decisions; while Business Model Canvas made vague the focus on strategic decision making, increasing concentration on customer needs and value delivery [10].

## RESEARCH OBJECTIVES

- To examine the impact of management frameworks (such as Porter's Five Forces, SWOT, McKinsey 7S, Issue Trees, and Business Model Canvas) on enhancing the efficiency and productivity of startups during both the pre-opening and post-opening phases.
- To investigate the role of academia-industry collaboration in facilitating the adoption and implementation of management frameworks by startups, and its subsequent effect on improving efficiency and productivity.

## RESEARCH METHODOLOGY

The study uses descriptive research to understand how management frameworks impact the efficiency and productivity of startups. It focuses on analyzing existing data from previous studies and reports. The research relies on *secondary data*, which has been gathered from:

- Academic journals, articles, and research papers about management frameworks and their use in startups.
- Reports, case studies, and industry publications related to startup performance.

## ANALYSIS AND FINDINGS

The application of management frameworks plays a pivotal role in improving the efficiency and productivity of startups. Startups face unique challenges, such as resource constraints, market uncertainty, and the need to scale quickly, making frameworks a vital tool for overcoming these hurdles. In addition, collaboration between academia and industry can significantly enhance the effectiveness of these frameworks by integrating cutting-edge research and innovative tools into real-world startup operations.

### Porter's Five Forces: Navigating Competitive Landscape and Enhancing Strategy

Porter's Five Forces framework is a tool that can guide startups in assessing the competitive forces within their own industry. With an analysis of these forces, startups may adopt strategies that would favorably position them in the market, and effectively tackle external challenges.

- *Market positioning and strategy development:* The startups like Zomato and Ola have utilized the Porter's Five Forces framework for analysis of competitive dynamics. For example, in Zomato, analysis on supplier bargaining power (restaurants) and threat of substitutes (cooked-in-homes) was carried out to enable positioning and streamlining operations for a more strategic increase in market share. Such strategic alignment thus resulted in leaving excess time for market analysis and increasing productivity through wider penetration of the market.
- *Efficient resource allocation:* The framework allowed start-ups to allocate resources more patiently, keeping in line with competitive forces. In the case of Ola, application of the model took them through fleet optimization and customer service enhancement, thereby improving operational efficiency by focusing on areas with the highest market demand.

### SWOT Analysis: Aligning Internal Strengths with External Opportunities

The SWOT (Strengths, Weaknesses, Opportunities, and Threats) analysis could enable the start-up companies to evaluate internal capabilities and the external market requirements to align their business with strategic opportunities.

- *Resource optimization and strategic focus:* Ola undertook a SWOT analysis to evaluate internal strengths, such as strong fleet partnerships, and internal weaknesses such as driver reliability. By identifying how these strengths and weaknesses were placed in relation to market opportunities, Ola was then able to optimize its services and improve operational efficiencies so that resources can now be focused on the greatest impact.

- *Proactive risk management*: Used SWOT tool to foresee market threats such as regulatory changes and increased competition. These proactive actions serve in reducing the operational disruptions and optimization such that it maximizes productivity and makes growth relatively smoother.

### **The McKinsey 7S Framework: Alignment of the Organization for Operational Effectiveness**

The McKinsey 7S framework prescribes that all key elements, which include Strategy, Structure, Systems, Shared Values, Style, Staff, and Skills, must be in alignment within the organization for maximum productivity within the organization.

- *Strategic and operational alliance*: The period of initiation for Flipkart to implement the McKinsey 7s style was the time when this major Indian e-commerce site began the process of expansion. Whereas, aligning strategy with structure, systems, and staff encompasses smooth scaling into the operation with rapid decision making. Elimination of bottlenecks with optimized workflows ensures operational efficiency and thus considers productivity as one of the outputs.
- *Cultural integration*: Startups that succeeded with cultural integration of their shared values saw almost no internal conflicts and enhanced team collaboration. These unions helped improve employee morale while speeding up decision-making and productivity.

### **Issue Trees: Systematic Problem-Solving for Operational Bottlenecks**

Issue Trees are a marvelous means to identify root causes of a complex problem. Now, the breakdown of challenges into smaller building blocks will help the startups find focus and tackle issues that lead to better efficiency.

- *Problem identification and root cause analysis*: Swiggy has used Issue Trees to understand the reasons behind delays in delivery. It segmented the issue into factors like availability of rider resources, order batching, and traffic conditions. These issues led to the realization of root causes and implementation of focused interventions on which all improved the speed of delivery and brought down the cost of operations very much.
- *Process optimization*: Startups that applied Issue Trees to dissect operational bottlenecks were able to achieve significant reductions in inefficiencies. For instance, Zomato applied this principle and framed specific issues concerning its delivery system instead of a more generalized inefficiency standalone to boost productivity.

### **Business Model Canvas: Technically Considered Strategy and Resource Allocation**

With the BMC, the startup can visualize and refine its business model by identifying the key components, such as value propositions, customer segments, and key resources. With this clarity, better decisions can be made strategically, with optimal resource allocation.

- *Strategic clarity and market adaptation*: Paytm utilized the Business Model Canvas to fine-tune its business model as it branched into new areas, from digital payments to financial services. It provided Paytm with transparent insights into value propositions, customer segments, and key resources, thereby effective resource allocation and thus concentrating on areas of highest potential impact.
- *Iterative innovation and efficiency*: Examples of such startups are Swiggy. BMC has been used by Swiggy to iterate their offerings according to customer feedback. With the continuous changes in the value proposition, customer relationships, and channels, Swiggy keeps redefining efficiency to satisfy changes in the market, and thus productivity increases.

### **The Role of Academia-Industry Collaboration in Enhancing Efficiency and Productivity**

The interaction between academia and industry catalyzes innovation by enabling startups to access and leverage research, advanced tools, and frameworks. By imparting knowledge, academia helps startups to greatly speed up the adoption and application of management frameworks, which in turn enhance operational efficiency and productivity.

- *Research and innovation access*: Institutions such as IIT have helped startups like Razorpay create advanced fraud detection algorithms. This collaboration benefited Razorpay with operational security and increased the overall efficiency of its payment processing system.

- *Mentorship and knowledge transfer:* Academic incubators such as the DLabs at the Indian School of Business (ISB) provide startups in terms of mentorship, access to academic research, and empirical tools for the application of frameworks. They have allowed startups to streamline operations and improve productivity through evidence-based decision making.
- *Application of academic frameworks:* The collaboration of academia and industries provides applications of theoretical frameworks such as Porter's Five Forces, SWOT, and McKinsey 7S. By providing research insights on market forces and operational flow, academia helps startups to understand the application of these Igs.

## CONCLUSION: BRIDGING THEORY AND PRACTICE FOR STARTUP SUCCESS

The previous research proves the integration of all management frameworks: Porter's Five Forces, SWOT, McKinsey 7S, issue trees, and the Business Model canvas, to be significantly beneficial and induce efficiency and productivity in startups. The frameworks provide structured and systematic methodologies for streamlining operations, optimizing resource allocation, and aligning strategic goals with operational execution.

Academia-industry collaboration is a significant factor in supporting startups for adoption and implementation of these frameworks. Innovative tools, cutting-edge research, and mentorship help the start-ups in using these frameworks productively for continuous improvement and productivity.

In the fast-paced and cutthroat world, startups having an edge that accepts these frameworks and partnerships with academics are comparatively well configured to face their challenges, efficiently scale their operations, and realize long-term success.

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